

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

EXPRESS MAIL LABEL NO.: None

March 9, 2007

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: 4200.P0134US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5): 10/582 433

CONFIRMATION NO.: 4875

INTERNATIONAL APPLICATION NO.: PCT/EP2004/011604

INTERNATIONAL FILING DATE: October 15, 2004

PRIORITY DATE CLAIMED: December 11, 2003

TITLE OF INVENTION: DOSING ARRANGEMENT FOR DISPERSION PAINTS

APPLICANT(S) FOR DO/EO/US: Rainer ORBEN and Joerg RUEGER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13^{ter}.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - A. Copy of Notification of Missing Requirements
 - B. Postal Card

The following fees have been submitted:	Calculations	PTO USE ONLY
21. <input type="checkbox"/> Basic National Fee (37 CFR 1.492(a))\$300.00	\$	
22. <input type="checkbox"/> Examination Fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00 All other situations\$200.00	\$	
23. <input type="checkbox"/> Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400.00 All other situations\$500.00	\$	
TOTAL OF 21, 22 AND 23 =	\$	

U.S. APPLICATION NO. (if known, see 37 CFR 1.5): 10/582 433
INTERNATIONAL APPLICATION NO.: PCT/EP2004/011604
ATTORNEY'S DOCKET NUMBER: 4200.P0134US

[] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	6 - 20 =		x \$ 50.00	\$	
Ind. claims	1 - 3 =		x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
[] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$130.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$

- a. [X] A check in the amount of \$130.00 to cover the above fees is enclosed.
b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

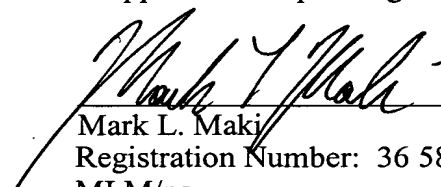
IN DUPLICATE

**PLEASE DIRECT CORRESPONDENCE TO
THE ADDRESS ASSOCIATED WITH THE
FOLLOWING CUSTOMER NUMBER: 23474**

03/14/2007 MKAYPAGH 00000099 10582433

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300.07/05

130.00 OP


Mark L. Maki
Registration Number: 36 589
MLM/ps

FLYNN, THIEL, BOUTELL & TANIS, P.C.
2026 Rambling Road
Kalamazoo, Michigan 49008-1631



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/582,433	Rainer Orben	4200.P0134US

INTERNATIONAL APPLICATION NO.

PCT/EP04/11604

I.A. FILING DATE

PRIORITY DATE

10/15/2004

12/11/2003

23474

FLYNN THIEL BOUTELL & TANIS, P.C.
 2026 RAMBLING ROAD
 KALAMAZOO, MI 49008-1631

DHT / DGB TFC
 LLC BRT
 SRT DJW SBW

FEB 02 2007

HJ PUG
 GMS CPA KC
 FILE Haft 134

CONFIRMATION NO. 4875

371 FORMALITIES LETTER



QC000000022198944

Date Mailed: 01/29/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/09/2006
- English Translation of the IA filed on 06/09/2006
- Copy of the International Search Report filed on 06/09/2006
- Preliminary Amendments filed on 06/09/2006
- Information Disclosure Statements filed on 06/09/2006
- U.S. Basic National Fees filed on 06/09/2006
- Priority Documents filed on 06/09/2006
- Specification filed on 06/09/2006
- Claims filed on 06/09/2006
- Abstracts filed on 06/09/2006
- Drawings filed on 06/09/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

FILE NO:HPBK 134 ATTY:MLM/DJW
 DUE DATE:03-29-2007 (20070329)
 4200.P0134US /INIT:(20070129)
 ACTION:10 RES PER:2 M DK1
 FILE FEE DEC, ET AL / 1991

FILE NO:HPBK 134 ATTY:MLM/DJW
 DUE DATE:03-29-2007 (20070329)
 4200.P0134US /INIT:(0)
 ACTION:10 RES PER: DK1
 FILE IDS W/MISS PARTS / 1992

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/582,433	PCT/EP04/11604	4200.P0134US

PATENT APPLICATION

Applicant(s): Rainer ORBEN et al

Title: DOSING ARRANGEMENT FOR DISPERSION PAINTS

PCT International Application No.: PCT/EP2004/011604

PCT International Filing Date: October 15, 2004

U.S. Application No.

(if known, see 37 CFR 1.5): 10/582 433

Confirmation No.: 4875

Atty. Docket No.: 4200.P0134US

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Commissioner for Patents

P.O. Box 1450

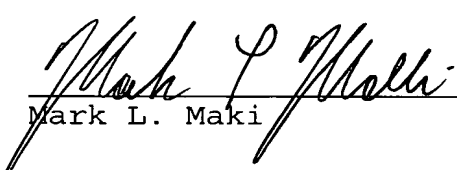
Alexandria, VA 22313-1450

FIRST CLASS MAILING CERTIFICATE

Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR 1.8 as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 9, 2007.


Mark L. Maki

MLM/ps

FLYNN, THIEL, BOUTELL
& TANIS, P.C.

2026 Rambling Road

Kalamazoo, MI 49008-1631

Phone: (269) 381-1156

Fax: (269) 381-5465

Dale H. Thiel

David G. Boutell

Terryence F. Chapman

Mark L. Maki

Liane L. Churney

Brian R. Tumm

Steven R. Thiel

Donald J. Wallace

Sidney B. Williams, Jr.

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Reg. No. 43 977

Reg. No. 24 949

Correspondence: Form PTO-1390 dated March 9, 2007 (in duplicate) including enclosures listed thereon

390.05/05